



#4/68603  
28490

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s)	Preston, et al.	Examiner:	Unassigned
Serial No.:	10/068,778	Group Art Unit:	2811
Confirmation No:	7541	Docket:	1025-4
Filed:	February 5, 2002	Dated:	May 7, 2002
For:	MONOLITHIC SURFACE MOUNT OPTOELECTRIC DEVICE AND METHOD FOR FABRICATING THE DEVICE		

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On May 7, 2002

Signature: Jay Peterson

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In order to fulfill the requirements of candor and good faith set forth in 37 C.F.R.  
§1.56, Applicants submit herewith the following Information Disclosure Statement in  
accordance with the provisions of 37 C.F.R. §1.97 and §1.98.

**UNITED STATES PATENTS**

<b><u>PATENTEE</u></b>	<b><u>PATENT NO.</u></b>	<b><u>ISSUE DATE</u></b>
Chen, et al.	5,910,687	June 8, 1999
Preston, et al.	6,187,611	February 13, 2001
Zheleva, et al.	6,265,289	July 24, 2001

**NON-PATENT PUBLICATION**

P. Van Zant, *Microchip Fabrication*, 3<sup>rd</sup> Edition, pp.191-309 (1997).

The above-referenced documents are listed on PTO Form 1449. We have enclosed the cited documents to facilitate reference to them.

Applicants are not aware of any other references to be identified at this time. If the Examiner has any questions or comments relating to the present application, he or she is respectfully invited to contact Applicants' attorney at the telephone number set forth below.

Respectfully submitted,



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